

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

PATENT



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Richard S. MacMillan  
(signature)

Date of signature and deposit - 02-23-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
THOMAS J. KELLER )  
 )  
Serial No. 09/728,934 )  
 )  
Filed: December 1, 2000 )  
 )  
For: METHOD AND APPARATUS FOR )  
ADJUSTING THE SHAPE OF A )  
LOW FRICTION COATING ON A )  
SPLINED SLIP JOINT MEMBER )      Attorney Docket 1-21737

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Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D. C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Honorable Sir:

In response to the Notice To File Missing Parts Of Application dated January 18, 2001, enclosed is an executed Declaration and a copy of the PTO-1533 form. Please charge Deposit Account No. 13-0005 in the amount of \$840.00 to cover the \$710.00 filing fee pursuant to 37 C.F.R. 1.16(a) and the \$130.00 surcharge fee pursuant to 37 C.F.R. 1.16(e). A duplicate copy of this paper is enclosed.

Respectfully submitted,

Richard S. MacMillan

Richard S. MacMillan  
Reg. No. 30,085

MacMillan, Sobanski & Todd, LLC  
One Maritime Plaza, Fourth Floor  
720 Water Street  
Toledo, Ohio 43604  
(419) 255-5900



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PATENT *H23*

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Assistant Commissioner for Patents  
Washington, D. C. 20231

Attention: Initial Patent Examination Customer Service Center

REQUEST FOR CORRECTION OF FILING RECEIPT

Honorable Sir:

Please correct the official Filing Receipt in the above-identified application to reflect the correct middle initial of the inventor as "J." (not "R.") as shown on the attached photocopy thereof.

Respectfully submitted,

Richard S. MacMillan

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Reg. No. 30,085

MacMillan, Sobanski & Todd, LLC  
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720 Water Street  
Toledo, Ohio 43604  
(419) 255-5900



COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/728,934         | 12/01/2000  | 3726         | 0             | 1-21737        | 3        | 17         | 2          |

CONFIRMATION NO. 2820

## FILING RECEIPT



\*OC000000005687312\*

DOCKETED  
 BY DKT FILE T  
 3/3

MacMillan, Sobanski & Todd, LLC  
 One Maritime Plaza, Fourth Floor  
 720 Water Street  
 Toledo, OH 43604

Date Mailed: 01/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas R. Keller, Bristol, VA;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 01/10/2001

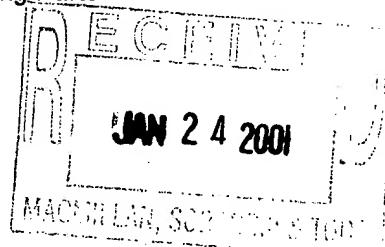
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: Yes

Early Publication Request: No

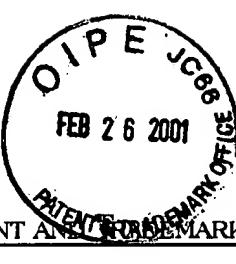
## Title

Method and apparatus for adjusting the shape of a low friction coating on a splined slip joint member



## Preliminary Class

029



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/728,934         | 12/01/2000          | Thomas R. Keller      | 1-21737                |

**CONFIRMATION NO. 2820**  
**FORMALITIES LETTER**

MacMillan, Sobanski & Todd, LLC  
One Maritime Plaza, Fourth Floor  
720 Water Street  
Toledo, OH 43604



\*OC000000005687313\*

Date Mailed: 01/18/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09728934  
02/28/2001 WKDORMA 00000137 130005  
01 FC:101 710.00 CH  
02 FC:105 130.00 CH